Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1 - 16 (Canceled)

17. (Previously Presented) A carbinol-functional silicone resin comprising the units:

 $(R^1_3SiO_{1/2})_a$ (i)

 $(R^2_2SiO_{2/2})_b$ (ii)

 $(R^3SiO_{3/2})_c$ (iii) and

 $(SiO_{4/2})_d$ (iv)

wherein R^1 and R^2 are each independently a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, or a carbinol group free of aryl groups having at least 3 carbon atoms, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, and the value of a + b + c + d = 1, with the proviso that when each R^2 is methyl the value of b is less than 0.3 and with the proviso there is on average at least one carbinol group per resin molecule and greater than 10 wt% of the $R^1+R^2+R^3$ groups in the carbinol-functional silicone resin are phenyl.

18. (Previously Presented) A carbinol-functional silicone resin of claim 17 wherein the alkyl group is methyl;

the aryl group is phenyl;

the carbinol group free of aryl groups having at least 3 carbon atoms is selected from a group having the formula R^4OH wherein R^4 is selected from

(1) a group having the formula $-(CH_2)_{X}$ - where x has a value of 3 to 10,

- (2) -CH₂CH(CH₃)-,
- (3) -CH₂CH(CH₃)CH₂-,
- (4) -CH2CH2CH(CH2CH3)CH2CH2CH2-, and
- (5) a group having the formula -OCH(CH₃)(CH₂)_X- wherein x has a value of 1 to 10 and a group having the formula R^6 (OH) wherein R^6 is a group having the formula $CH_2CH_2(CH_2)_xOCH_2CH$ wherein x in each case has a value of 1 to 10.
- 19. (Previously Presented) The carbinol-functional silicone resin of Claim 17 where a has a value of 0.1 to 0.6, b has a value of 0 to 0.4, c has a value of 0.3 to 0.8, and d has a value of 0 to 0.3.
- 20. (Previously Presented) The carbinol-functional silicone resin according to Claim 17 wherein the carbinol-functional silicone resin is selected from carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

$$(C_6H_5SiO_{3/2})_c$$

$$((CH_3)_3SiO_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

((CH₃)₃SiO_{1/2})_a

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

 $((R^1)(CH_3)_2SiO_{1/2})_a$ where $R^1 = -CH_2CH(CH_3)CH_2OH$

((H)(CH₃)₂SiO_{1/2})_{a and}

 $(C_6H_5SiO_{3/2})_c$

wherein a has a value of 0.1 to 0.6, b has a value of zero to 0.4, and c has a value of 0.3 to 0.8.

- 21. (Previously Presented) The carbinol-functional silicone resin according to Claim 17, wherein greater than 25 weight percent of the $R^1+R^2+R^3$ groups are phenyl.
- 22. (Previously Presented) A carbinol-functional silicone resin comprising the units:

 $(R^{1}_{3}SiO_{1/2})_{a}$ (i)

 $(R^2_2SiO_{2/2})_b$ (ii)

 $(R^3SiO_{3/2})_c$ (iii) and

 $(SiO_{4/2})_d$ (iv)

wherein R^1 is independently a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, or a carbinol group free of aryl groups having at least 6 carbon atoms, R^2 is a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, or a carbinol group free of aryl groups having at least 3 carbon atoms, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, and the value of a + b + c + d = 1, and with the proviso that when each R^2 is methyl the value of b is less than

0.3 and with the proviso that greater than 25 wt% of the $R^1+R^2+R^3$ groups in the carbinol-functional silicone resin are phenyl.

23. (Previously Presented) The carbinol-functional silicone resin according to Claim 22 wherein the carbinol-functional silicone resin is selected from carbinol-functional silicone resins comprising the units: $((R^1)(CH_3)_2SiO_{1/2})_a \ \ \text{where } R^1 = -(CH_2)_3OH \ \ \text{ and }$

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

$$(C_6H_5SiO_{3/2})_c$$
,

carbinol-functional silicone resins comprising the units:

$$((CH_3)_3SiO_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

carbinol-functional silicone resins comprising the units:

$$((\mathrm{CH_3})_3\mathrm{SiO}_{1/2})_a$$

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -CH_2CH(CH_3)CH_2OH$

$$((H)(CH_3)_2SiO_{1/2})_a$$
 and

 $(C_6H_5SiO_{3/2})_c$

wherein a has a value of 0.1 to 0.6, b has a value of zero to 0.4, and c has a value of 0.3 to 0.8.

- 24. (Currently Amended) A method of preparing carbinol-functional silicone resins comprising reacting:
- (A') at least one hydrogen-functional silicone resin comprising the units:
- $(R^{7}_{3}SiO_{1/2})_{a}(i)$
- $(R^8 2 SiO_{2/2})_b$ (ii)
- $(R^3SiO_{3/2})_c$ (iii) and
- $(SiO_{4/2})_d$ (iv)

wherein R^7 and R^8 are each independently an alkyl group having from 1 to 8 carbon atoms, an aryl group, or a hydrogen atom, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, the value of a + b + c + d = 1, with the proviso that when each R^8 is methyl the value of b is less than 0.3, with the proviso that there are at least two silicon-bonded hydrogen atoms present in the silicone resin and with the proviso that greater than 10 wt% of the $R^7 + R^8 + R^3$ groups are phenyl; and (B') at least one non-aryl containing vinyl terminated alcohol having the formula $CH_2 = CH(CH_2)_XOH$, $CH_2 = CHCH(CH_3)(CH_2)_XOH$, or $CH_2 = C(CH_3)(CH_2)_XOH$ wherein x has a value of 1 to 10; in the presence of (C') a hydrosilylation catalyst; and optionally (D') at least one solvent.

25. (Previously Presented) The method of preparing carbinol-functional silicone resins according to Claim 24 where a has a value of 0.1 to 0.6, b has a value of 0 to 0.4, c has a value of 0.3 to 0.8, and d has a value of 0 to 0.3.

26. (Previously Presented) The method of preparing carbinol-functional silicone resins according to Claim 24 where the hydrogen-functional silicone resins of (A) are selected from hydrogen-functional silicone resins comprising the units:

((CH₃)₃SiO_{1/2})_a
((H)CH₃SiO_{2/2})_b
((C₆H₅)CH₃SiO_{2/2})_b and
(C₆H₅SiO_{3/2})_c,
hydrogen-functional silicone resins comprising the units:
((H)(CH₃)₂SiO_{1/2})_a
(C₆H₅SiO_{3/2})_c,

hydrogen-functional silicone resins comprising the units:

 $((H)(CH_3)_2SiO_{1/2})_a$

 $(CH_3SiO_{3/2})_c$

hydrogen-functional silicone resins comprising the units:

 $((H)(CH_3)_2SiO_{1/2})_a$

(CH₃SiO_{3/2})_c and

 $(C_6H_5SiO_{3/2})_c$,

and

hydrogen-functional silicone resins comprising the units:

 $((CH_3)_3SiO_{1/2})_a$

((H)(CH₃)₂SiO_{1/2})_a

(C₆H₅SiO_{3/2})_c

wherein a has a value of 0.1 to 0.6, b has a value of 0 to 0.4, and c has a value of 0.3 to 0.8.

- 27. (Currently Amended) A method of preparing carbinol-functional silicone resins comprising reacting:
- (A') at least one hydrogen-functional silicone resin comprising the units:
- $(R^{7}_{3}SiO_{1/2})_{a}(i)$
- (R⁸₂SiO_{2/2})_b (ii)
- $(R^3SiO_{3/2})_c$ (iii) and
- $(SiO_{4/2})_d$ (iv)

wherein R^7 and R^8 are each independently an alkyl group having from 1 to 8 carbon atoms, an aryl group, or a hydrogen atom, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, the value of a + b + c + d = 1, with the proviso that when each R^8 is methyl the value of b is less than 0.3, with the proviso that there are at least two silicon-bonded hydrogen atoms present in the silicone resin and with the proviso that greater than 30 wt% of the $R^7 + R^8 + R^3$ groups are phenyl; and (B') at least one non-aryl containing vinyl terminated alcohol having the formula $CH_2 = CH(CH_2)_XOH$.

<u>CH2=CHCH(CH3)(CH2)</u> $_X$ <u>OH, or CH2=C(CH3)(CH2)</u> $_X$ <u>OH wherein x has a value of 1 to 10</u>; in the presence of (C') a hydrosilylation catalyst; and optionally (D') at least one solvent.

- 28. (Previously Presented) The method of preparing carbinol-functional silicone resins according to Claim 27 where a has a value of 0.1 to 0.6, b has a value of 0 to 0.4, c has a value of 0.3 to 0.8, and d has a value of 0 to 0.3
- 29. (Previously Presented) An emulsion composition comprising: (A) a carbinol-functional silicone resin comprising the units:

- $(R^{1}_{3}SiO_{1/2})_{a}$ (i)
- $(R^2_2SiO_{2/2})_b$ (ii)
- $(R^3SiO_{3/2})_c$ (iii) and
- $(SiO_{4/2})_d$ (iv)

wherein R^1 and R^2 are each independently a hydrogen atom, an alkyl group having from 1 to 8 carbon atoms, an aryl group, a carbinol group free of aryl groups having at least 3 carbon atoms, or an aryl-containing carbinol group having at least 6 carbon atoms, R^3 is an alkyl group having from 1 to 8 carbon atoms or an aryl group, a has a value of less than or equal to 0.6, b has a value of zero or greater than zero, c has a value of greater than zero, d has a value of less than 0.5, and the value of a + b + c + d = 1, and with the provisos that when each R^2 is methyl the value of b is less than 0.3 greater than 10 weight percent of the $R^1+R^2+R^3$ groups are phenyl. and there is on average at least one carbinol group per resin molecule; (B) at least one surfactant; and (C) water.

30. (Previously presented) The emulsion composition according to claim 29 wherein the alkyl group is methyl;

the aryl group is phenyl;

the carbinol group free of aryl groups having at least 3 carbon atoms is selected from a group having the formula R^4OH wherein R^4 is selected from

- (1) a group having the formula $-(CH_2)_x$ where x has a value of 3 to 10,
- (2) -CH₂CH(CH₃)-,
- (3) -CH₂CH(CH₃)CH₂-,
- (4) -CH₂CH₂CH(CH₂CH₃)CH₂CH₂CH₂-, and
- (5) a group having the formula -OCH(CH₃)(CH₂)_X- wherein x has a value of 1 to 10

and a group having the formula $R^6(OH)$ wherein R^6 is a group having the formula - $CH_2CH_2(CH_2)_xOCH_2CH$ - wherein x in each case has a value of 1 to 10; the aryl-containing carbinol group having at least 6 carbon atoms is a group having the formula R^5OH wherein R^5 is selected from

- (1) a group having the formula $-(CH_2)_xC_6H_4$ wherein x has a value of 0 to 10,
- (2) a group having the formula -CH₂CH(CH₃)(CH₂) $_{\rm X}$ C₆H₄- wherein x has a value of 0 to 10, and
- (3) a group having the formula $-(CH_2)_xC_6H_4(CH_2)_x$ wherein x has a value of 1 to 10.
- 31. (Previously Presented) The emulsion composition according to Claim 29 wherein where a has a value of 0.1 to 0.6, b has a value of 0 to 0.4, c has a value of 0.3 to 0.8, and d has a value of 0 to 0.3.
- 32. (Previously presented) The emulsion composition according to Claim 29 wherein the carbinol-functional silicone resin is selected from carbinol-functional silicone resins comprising the units:

$$((CH_3)_3SiO_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3C_6H_4OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

$$(C_6H_5SiO_{3/2})_c$$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

$$(C_6H_5SiO_{3/2})_c$$

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3C_6H_4OH$ and

 $(CH_3SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

 $(C_6H_5SiO_{3/2})_c$,

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$

(CH₃SiO_{3/2})_c and

(C₆H₅SiO_{3/2})_c,

carbinol-functional silicone resins comprising the units:

$$((CH_3)_3SiO_{1/2})_a$$

$$((R^2)CH_3SiO_{2/2})_b$$
 where $R^2 = -(CH_2)_3OH$

$$((C_6H_5)CH_3SiO_{2/2})_b$$
 and

 $(C_6H_5SiO_{3/2})_c$

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -(CH_2)_3OH$ and

carbinol-functional silicone resins comprising the units:

$$((R^1)(CH_3)_2SiO_{1/2})_a$$
 where $R^1 = -CH_2CH(CH_3)CH_2OH$

$$((H)(CH_3)_2SiO_{1/2})_a \ and$$

$$(C_6H_5SiO_{3/2})_c$$

$$(({\rm R}^1)({\rm CH}_3)_2{\rm SiO}_{1/2})_a\ \ {\rm where}\ {\rm R}^1=-({\rm CH}_2)_3{\rm OH}$$

wherein a has a value of 0.1 to 0.6, b has a value of zero to 0.4, and c has a value of 0.3 to 0.8.

33. (Cancelled)

- 34. (Previously presented) The emulsion composition according to Claim 29 wherein the emulsion composition further comprises at least one ingredient selected from fragrances, preservatives, vitamins, ceramides, amino-acid derivatives, liposomes, polyols, botanicals, conditioning agents, glycols, vitamin A, vitamin C, vitamin E, Pro-Vitamin B5, sunscreen agents, humectants, preservatives, emollients, occlusive agents, esters, pigments, and self-tanning agents.
- 35 (Previously Presented) The emulsion composition according to Claim 31 wherein the emulsion composition further comprises at least one ingredient selected from fragrances, preservatives, vitamins, ceramides, amino-acid derivatives, liposomes, polyols, botanicals, conditioning agents, glycols, vitamin A, vitamin C, vitamin E, Pro-Vitamin B5, sunscreen agents, humectants, preservatives, emollients, occlusive agents, esters, pigments, and self-tanning agents.
- 36. (New) The emulsion composition according to Claim 29 wherein greater than 25 weight percent of the R¹+R²+R³ groups are phenyl.